

NASDAQ

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	52%	48%	0%	0	60	31	29	0	None
CSFB2	OCTOBER	0%	0%	55%	45%	0%	0	60	33	27	0	None
CVGM	OCTOBER	0%	0%	54%	46%	0%	0	76	41	35	0	None
JEFALGO4	OCTOBER	0%	0%	66%	34%	0%	0	182	120	62	0	None
PC-CCG	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PERSH2	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-BTH	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	OCTOBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
PRSH-SMRT	OCTOBER	0%	0%	50%	50%	0%	0	4	2	2	0	None
TACTEX2	OCTOBER	0%	0%	62%	38%	0%	0	97	60	37	0	None
Total								480	287	193		

NYSE

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	67%	33%	0%	0	185	124	61	0	None
CSFB2	OCTOBER	0%	0%	73%	27%	0%	0	179	131	48	0	None
CVGM	OCTOBER	0%	0%	64%	36%	0%	0	294	188	106	0	None
JEFALGO4	OCTOBER	0%	0%	80%	20%	0%	0	725	582	143	0	None
PC-CCG	OCTOBER	0%	0%	77%	23%	0%	0	13	10	3	0	None
PERSH2	OCTOBER	0%	0%	0%	100%	0%	0	2	0	2	0	None
PRSH-BTH	OCTOBER	0%	0%	9%	91%	0%	0	22	2	20	0	None
PRSH-NYSE	OCTOBER	0%	0%	25%	75%	0%	0	24	6	18	0	None
PRSH-SMRT	OCTOBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
TACTEX2	OCTOBER	0%	0%	68%	32%	0%	0	219	148	71	0	None
Total								1664	1192	472		

AMEX

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
CSFB2	NOVEMBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
CVGM	NOVEMBER	0%	0%	0%	100%	0%	0	3	0	3	0	None
JEFALGO4	NOVEMBER	0%	0%	0%	100%	0%	0	4	0	4	0	None
PC-CCG	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-BTH	NOVEMBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
PRSH-NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	NOVEMBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
Total								11	1	10		

NASDAQ

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	21%	79%	0%	0	163	34	129	0	None
CSFB2	NOVEMBER	0%	0%	58%	42%	0%	0	33	19	14	0	None
CVGM	NOVEMBER	0%	0%	25%	75%	0%	0	202	51	151	0	None
JEFALGO4	NOVEMBER	0%	0%	59%	41%	0%	0	266	156	110	0	None
PC-CCG	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-BTH	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	NOVEMBER	0%	0%	0%	100%	0%	0	12	0	12	0	None
PRSH-SMRT	NOVEMBER	0%	0%	20%	80%	0%	0	5	1	4	0	None
TACTEX2	NOVEMBER	0%	0%	58%	42%	0%	0	116	67	49	0	None
Total								797	328	469		

NYSE

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	62%	38%	0%	0	198	122	76	0	None
CSFB2	NOVEMBER	0%	0%	50%	50%	0%	0	86	43	43	0	None
CVGM	NOVEMBER	0%	0%	48%	52%	0%	0	272	130	142	0	None
JEFALGO4	NOVEMBER	0%	0%	56%	44%	0%	0	763	430	333	0	None
PC-CCG	NOVEMBER	0%	0%	47%	53%	0%	0	15	7	8	0	None
PRSH-BTH	NOVEMBER	0%	0%	33%	67%	0%	0	6	2	4	0	None
PRSH-NYSE	NOVEMBER	0%	0%	27%	73%	0%	0	30	8	22	0	None
PRSH-SMRT	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	NOVEMBER	0%	0%	42%	58%	0%	0	320	133	187	0	None
Total								1690	875	815		

NASDAQ

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	54%	46%	0%	0	139	75	64	0	None
CSFB2	DECEMBER	0%	0%	66%	34%	0%	0	47	31	16	0	None
CVGM	DECEMBER	0%	0%	38%	62%	0%	0	98	37	61	0	None
JEFALGO4	DECEMBER	0%	0%	62%	38%	0%	0	345	213	132	0	None
PC-CCG	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-BTH	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEXZ	DECEMBER	0%	0%	70%	30%	0%	0	118	83	35	0	None
Total								747	439	308		

NYSE

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	73%	27%	0%	0	200	146	54	0	None
CSFB2	DECEMBER	0%	0%	59%	41%	0%	0	115	68	47	0	None
CVGM	DECEMBER	0%	0%	50%	50%	0%	0	179	90	89	0	None
JEFALGO4	DECEMBER	0%	0%	70%	30%	0%	0	830	581	249	0	None
PC-CCG	DECEMBER	0%	0%	86%	14%	0%	0	7	6	1	0	None
PRSH-BTH	DECEMBER	0%	0%	0%	100%	0%	0	3	0	3	0	None
PRSH-NYSE	DECEMBER	0%	0%	25%	75%	0%	0	24	6	18	0	None
PRSH-SMRT	DECEMBER	0%	0%	100%	0%	0%	0	4	4	0	0	None
TACTEX2	DECEMBER	0%	0%	42%	58%	0%	0	300	126	174	0	None
Total								1662	1027	635		

AMEX

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
CSFB2	DECEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
CVGM	DECEMBER	0%	0%	0%	100%	0%	0	3	0	3	0	None
JEFALGO4	DECEMBER	0%	0%	100%	0%	0%	0	31	31	0	0	None
PC-CCG	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-BTH	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	DECEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
Total								38	35	3		

OTC

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
CSFB2	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
CVGM	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	DECEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
PC-CCG	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-BTH	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	DECEMBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
Total								3	3	0		