

AMEX

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	100%	0%	0%	0	15	15	0	0	None
CVGM	OCTOBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
FBCO	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
HB-CCG	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	OCTOBER	0%	0%	100%	0%	0%	0	3	3	0	0	None
PERSH2	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-ATOM	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	OCTOBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
PRSH-SMRT	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	OCTOBER	0%	0%	100%	0%	0%	0	4	4	0	0	None
Total								25	25	0		

NASDAQ

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	47%	53%	0%	0	158	74	84	0	None
CVGM	OCTOBER	0%	0%	34%	66%	0%	0	29	10	19	0	None
FBCO	OCTOBER	0%	0%	53%	47%	0%	0	74	39	35	0	None
HB-CCG	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	OCTOBER	0%	0%	65%	35%	0%	0	228	148	80	0	None
PERSH2	OCTOBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
PRSH-ATOM	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	OCTOBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
TACTEX2	OCTOBER	0%	0%	65%	35%	0%	0	66	43	23	0	None
Total								557	315	242		

NYSE

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	76%	24%	0%	0	404	309	95	0	None
CVGM	OCTOBER	0%	0%	71%	29%	0%	0	196	139	57	0	None
FBCO	OCTOBER	0%	0%	57%	43%	0%	0	129	73	56	0	None
HB-CCG	OCTOBER	0%	0%	60%	40%	0%	0	15	9	6	0	None
JEFALGO4	OCTOBER	0%	0%	75%	25%	0%	0	957	719	238	0	None
PERSH2	OCTOBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
PRSH-ATOM	OCTOBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
PRSH-NYSE	OCTOBER	0%	0%	21%	79%	0%	0	63	13	50	0	None
PRSH-SMRT	OCTOBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
TACTEX2	OCTOBER	0%	0%	72%	28%	0%	0	210	152	58	0	None
Total								1977	1416	561		

AMEX

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MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
CVGM	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
HB-CCG	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	NOVEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
PERSH2	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-ATOM	NOVEMBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
PRSH-NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								5	5	0		

NASDAQ

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	62%	38%	0%	0	180	111	69	0	None
CVGM	NOVEMBER	0%	0%	71%	29%	0%	0	41	29	12	0	None
FBCO	NOVEMBER	0%	0%	67%	33%	0%	0	48	32	16	0	None
HB-CCG	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	NOVEMBER	0%	0%	63%	37%	0%	0	235	149	86	0	None
PERSH2	NOVEMBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
PRSH-ATOM	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	NOVEMBER	0%	0%	68%	32%	0%	0	103	70	33	0	None
Total								608	391	217		

NYSE

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	83%	17%	0%	0	606	504	102	0	None
CVGM	NOVEMBER	0%	0%	73%	27%	0%	0	169	124	45	0	None
FBCO	NOVEMBER	0%	0%	84%	16%	0%	0	136	114	22	0	None
HB-CCG	NOVEMBER	0%	0%	42%	58%	0%	0	12	5	7	0	None
JEFALGO4	NOVEMBER	0%	0%	72%	28%	0%	0	724	524	200	0	None
PERSH2	NOVEMBER	0%	0%	100%	0%	0%	0	4	4	0	0	None
PRSH-ATOM	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	NOVEMBER	0%	0%	55%	45%	0%	0	83	46	37	0	None
PRSH-SMRT	NOVEMBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
TACTEX2	NOVEMBER	0%	0%	74%	26%	0%	0	203	150	53	0	None
Total								1938	1472	466		

## ARCA

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	76%	24%	0%	0	17	13	4	0	None
CVGM	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
HB-CCG	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	DECEMBER	0%	0%	50%	50%	0%	0	4	2	2	0	None
PRSH-NYSE	DECEMBER	0%	0%	0%	100%	0%	0	1	0	1	0	None
PRSH-SMRT	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	DECEMBER	0%	0%	60%	40%	0%	0	5	3	2	0	None
Total								27	18		9	

## NASDAQ

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	60%	40%	0%	0	240	144	96	0	None
CVGM	DECEMBER	0%	0%	40%	60%	0%	0	67	27	40	0	None
FBCO	DECEMBER	0%	0%	39%	61%	0%	0	96	37	59	0	None
HB-CCG	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	DECEMBER	0%	0%	71%	29%	0%	0	282	199	83	0	None
PRSH-NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	DECEMBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
TACTEX2	DECEMBER	0%	0%	49%	51%	0%	0	86	42	44	0	None
Total								772	450		322	

## NYSE

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	85%	15%	0%	0	1008	855	153	0	None
CVGM	DECEMBER	0%	0%	37%	63%	0%	0	230	84	146	0	None
FBCO	DECEMBER	0%	0%	59%	41%	0%	0	283	167	116	0	None
HB-CCG	DECEMBER	0%	0%	70%	30%	0%	0	10	7	3	0	None
JEFALGO4	DECEMBER	0%	0%	79%	21%	0%	0	620	490	130	0	None
PRSH-NYSE	DECEMBER	0%	0%	52%	48%	0%	0	54	28	26	0	None
PRSH-SMRT	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	DECEMBER	0%	0%	66%	34%	0%	0	128	85	43	0	None
Total								2333	1716		617	