

AMEX

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	100%	0%	0%	0	49	49	0	0	None
CVGM	OCTOBER	0%	0%	100%	0%	0%	0	8	8	0	0	None
FBCO	OCTOBER	0%	0%	100%	0%	0%	0	3	3	0	0	None
JEFCO	OCTOBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
NYSE	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								61	61	0		

NASDAQ

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ARCA	OCTOBER	0%	0%	60%	40%	0%	0	594	355	239	0	None
CVGM	OCTOBER	0%	0%	55%	45%	0%	0	166	91	75	0	None
FBCO	OCTOBER	0%	0%	47%	53%	0%	0	17	8	9	0	None
JEFCO	OCTOBER	0%	0%	64%	36%	0%	0	311	200	111	0	None
NYSE	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								1088	654	434		

NYSE

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	58%	42%	0%	0	1629	949	680	0	None
CVGM	OCTOBER	0%	0%	53%	47%	0%	0	380	202	178	0	None
FBCO	OCTOBER	0%	0%	83%	17%	0%	0	58	48	10	0	None
JEFCO	OCTOBER	0%	0%	63%	37%	0%	0	793	501	292	0	None
NYSE	OCTOBER	0%	0%	45%	55%	0%	0	77	35	42	0	None
Total								2937	1735	1202		

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	100%	0%	0%	0	13	13	0	0	None
CVGM	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFCO	NOVEMBER	0%	0%	100%	0%	0%	0	3	3	0	0	None
NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								16	16	0		

NASDAQ

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	52%	48%	0%	0	614	322	292	0	None
CVGM	NOVEMBER	0%	0%	45%	55%	0%	0	220	99	121	0	None
FBCO	NOVEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
JEFCO	NOVEMBER	0%	0%	58%	42%	0%	0	166	96	70	0	None
NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								1002	519	483		

NYSE

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	59%	41%	0%	0	1615	960	655	0	None
CVGM	NOVEMBER	0%	0%	55%	45%	0%	0	604	331	273	0	None
FBCO	NOVEMBER	0%	0%	100%	0%	0%	0	4	4	0	0	None
JEFCO	NOVEMBER	0%	0%	60%	40%	0%	0	590	354	236	0	None
NYSE	NOVEMBER	0%	0%	28%	72%	0%	0	80	22	58	0	None
Total								2893	1671	1222		

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	100%	0%	0%	0	31	31	0	0	None
CVGM	DECEMBER	0%	0%	100%	0%	0%	0	4	4	0	0	None
FBCO	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFCO	DECEMBER	0%	0%	100%	0%	0%	0	6	6	0	0	None
NYSE	DECEMBER	0%	0%	100%	0%	0%	0	4	4	0	0	None
Total								45	45	0		

NASDAQ

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	57%	43%	0%	0	567	322	245	0	None
CVGM	DECEMBER	0%	0%	59%	41%	0%	0	144	85	59	0	None
FBCO	DECEMBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
JEFCO	DECEMBER	0%	0%	59%	41%	0%	0	231	137	94	0	None
NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								943	545	398		

NYSE

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	75%	25%	0%	0	1364	1026	338	0	None
CVGM	DECEMBER	0%	0%	66%	34%	0%	0	411	270	141	0	None
FBCO	DECEMBER	0%	0%	58%	42%	0%	0	59	34	25	0	None
JEFCO	DECEMBER	0%	0%	78%	22%	0%	0	651	509	142	0	None
NYSE	DECEMBER	0%	0%	82%	18%	0%	0	135	111	24	0	None
Total								2620	1950	670		