

AMEX

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	100%	0%	0%	0	3	3	0	0	None
JEFCO	OCTOBER	0%	0%	100%	0%	0%	0	3	3	0	0	None
NYFX	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYSE	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								6	6	0		

NASDAQ

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON-DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	54%	46%	0%	0	432	232	200	0	None
JEFCO	OCTOBER	0%	0%	49%	51%	0%	0	343	168	175	0	None
NYFX	OCTOBER	0%	0%	57%	43%	0%	0	65	37	28	0	None
NYSE	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								840	437	403		

NYSE

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ARCA	OCTOBER	0%	0%	64%	36%	0%	0	1377	887	490	0	None
JEFCO	OCTOBER	0%	0%	73%	27%	0%	0	1335	978	357	0	None
NYFX	OCTOBER	0%	0%	64%	36%	0%	0	155	99	56	0	None
NYSE	OCTOBER	0%	0%	36%	64%	0%	0	58	21	37	0	None
Total								2925	1985	940		

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ARCA	NOVEMBER	0%	0%	100%	0%	0%	0	9	9	0	0	None
JEFCO	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYFX	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								9	9	0		

NASDAQ

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ARCA	NOVEMBER	0%	0%	64%	36%	0%	0	387	247	140	0	None
JEFCO	NOVEMBER	0%	0%	61%	39%	0%	0	207	126	81	0	None
NYFX	NOVEMBER	0%	0%	21%	79%	0%	0	29	6	23	0	None
NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								623	379	244		

NYSE

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ARCA	NOVEMBER	0%	0%	73%	27%	0%	0	1904	1395	509	0	None
JEFCO	NOVEMBER	0%	0%	76%	24%	0%	0	977	744	233	0	None
NYFX	NOVEMBER	0%	0%	68%	32%	0%	0	117	79	38	0	None
NYSE	NOVEMBER	0%	0%	28%	72%	0%	0	86	24	62	0	None
Total								3084	2242	842		

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ARCA	DECEMBER	0%	0%	95%	5%	0%	0	22	21	1	0	None
JEFCO	DECEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
NYFX	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								24	23	1		

NASDAQ

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ARCA	DECEMBER	0%	0%	64%	36%	0%	0	415	265	150	0	None
JEFCO	DECEMBER	0%	0%	61%	39%	0%	0	348	212	136	0	None
NYFX	DECEMBER	0%	0%	42%	58%	0%	0	89	37	52	0	None
NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								852	514	338		

NYSE

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ARCA	DECEMBER	0%	0%	76%	24%	0%	0	1887	1431	456	0	None
JEFCO	DECEMBER	0%	0%	77%	23%	0%	0	1481	1133	348	0	None
NYFX	DECEMBER	0%	0%	69%	31%	0%	0	198	136	62	0	None
NYSE	DECEMBER	0%	0%	43%	57%	0%	0	132	57	75	0	None
Total								3698	2757	941		