

AMEX Oct-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	39%	61%	0%	0	98	38	60	0	None
JEFCO	OCTOBER	0%	0%	57%	43%	0%	0	7	4	3	0	None
NYSE	OCTOBER	0%	0%	0%	100%	0%	0	10	0	10	0	None
Total								115	42	73		

NASDAQ Oct-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	78%	22%	0%	0	549	429	120	0	None
JEFCO	OCTOBER	0%	0%	85%	15%	0%	0	162	138	24	0	None
NYSE	OCTOBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								711	567	144		

NYSE Oct-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	OCTOBER	0%	0%	84%	16%	0%	0	1749	1465	284	0	None
JEFCO	OCTOBER	0%	0%	79%	21%	0%	0	628	494	134	0	None
NYSE	OCTOBER	0%	0%	19%	81%	0%	0	78	15	63	0	None
Total								2455	1974	481		

AMEX Nov-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	55%	45%	0%	0	143	78	65	0	None
JEFCO	NOVEMBER	0%	0%	53%	47%	0%	0	38	20	18	0	None
NYSE	NOVEMBER	0%	0%	0%	100%	0%	0	7	0	7	0	None
Total								188	98	90		

NASDAQ Nov-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	77%	23%	0%	0	452	346	106	0	None
JEFCO	NOVEMBER	0%	0%	76%	24%	0%	0	162	123	39	0	None
NYSE	NOVEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								614	469	145		

NYSE Nov-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	NOVEMBER	0%	0%	64%	36%	0%	0	1204	776	428	0	None
JEFCO	NOVEMBER	0%	0%	80%	20%	0%	0	497	398	99	0	None
NYSE	NOVEMBER	0%	0%	21%	79%	0%	0	171	36	135	0	None
Total								1872	1210	662		

AMEX Dec-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
JEFCO	DECEMBER	0%	0%	100%	0%	0%	0	2	2	0	0	None
NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								4	4	0		

NASDAQ Dec-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	65%	35%	0%	0	385	249	136	0	None
JEFCO	DECEMBER	0%	0%	65%	35%	0%	0	119	77	42	0	None
NYSE	DECEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								504	326	178		

NYSE Dec-08

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	DECEMBER	0%	0%	53%	47%	0%	0	1340	716	624	0	None
JEFCO	DECEMBER	0%	0%	53%	47%	0%	0	482	254	228	0	None
NYSE	DECEMBER	0%	0%	5%	95%	0%	0	99	5	94	0	None
Total								1921	975	946		