

NASDAQ

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages							NUMBER OF ORDERS BY TYPE							MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS					
ARCA	JULY	0%	0%	23%	77%	0%	0	124	28	96	0	None				
BC-ALGO	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None				
CSFB2	JULY	0%	0%	64%	36%	0%	0	36	23	13	0	None				
CVGM	JULY	0%	0%	55%	45%	0%	0	38	21	17	0	None				
JEFALGO4	JULY	0%	0%	80%	20%	0%	0	146	117	29	0	None				
PC-CCG	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None				
PRSH-BTH	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None				
PRSH-NYSE	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None				
PRSH-SMRT	JULY	0%	0%	50%	50%	0%	0	2	1	1	0	None				
TACTEX2	JULY	0%	0%	78%	22%	0%	0	36	28	8	0	None				
Total								382	218	164						

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ARCA	JULY	0%	0%	67%	33%	0%	0	224	151	73	0	None				
BC-ALGO	JULY	0%	0%	83%	17%	0%	0	6	5	1	0	None				
CSFB2	JULY	0%	0%	81%	19%	0%	0	99	80	19	0	None				
CVGM	JULY	0%	0%	55%	45%	0%	0	92	51	41	0	None				
JEFALGO4	JULY	0%	0%	85%	15%	0%	0	729	622	107	0	None				
PC-CCG	JULY	0%	0%	33%	67%	0%	0	9	3	6	0	None				
PRSH-BTH	JULY	0%	0%	33%	67%	0%	0	3	1	2	0	None				
PRSH-NYSE	JULY	0%	0%	76%	24%	0%	0	46	35	11	0	None				
PRSH-SMRT	JULY	0%	0%	100%	0%	0%	0	6	6	0	0	None				
TACTEX2	JULY	0%	0%	66%	34%	0%	0	160	106	54	0	None				
Total								1374	1060	314						

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ARCA	AUGUST	0%	0%	13%	87%	0%	0	179	24	155	0	0	None	
BC-ALGO	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	0	None	
CSFB2	AUGUST	0%	0%	78%	22%	0%	0	69	54	15	0	0	None	
CVGM	AUGUST	0%	0%	35%	65%	0%	0	48	17	31	0	0	None	
JEFALGO4	AUGUST	0%	0%	80%	20%	0%	0	205	163	42	0	0	None	
PC-CCG	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	0	None	
PRSH-BTH	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	0	None	
PRSH-NYSE	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	0	None	
PRSH-SMRT	AUGUST	0%	0%	33%	67%	0%	0	6	2	4	0	0	None	
TACTB2	AUGUST	0%	0%	74%	26%	0%	0	57	42	15	0	0	None	
Total								564	302	262				

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ARCA	AUGUST	0%	0%	58%	42%	0%	0	215	124	91	0	0	None	
BC-ALGO	AUGUST	0%	0%	50%	50%	0%	0	2	1	1	0	0	None	
CSFB2	AUGUST	0%	0%	78%	22%	0%	0	115	90	25	0	0	None	
CVGM	AUGUST	0%	0%	42%	58%	0%	0	191	80	111	0	0	None	
JEFALGO4	AUGUST	0%	0%	69%	31%	0%	0	755	522	233	0	0	None	
PC-CCG	AUGUST	0%	0%	58%	42%	0%	0	12	7	5	0	0	None	
PRSH-BTH	AUGUST	0%	0%	14%	86%	0%	0	7	1	6	0	0	None	
PRSH-NYSE	AUGUST	0%	0%	34%	66%	0%	0	53	18	35	0	0	None	
PRSH-SMRT	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	0	None	
TACTB2	AUGUST	0%	0%	67%	33%	0%	0	226	151	75	0	0	None	
Total								1576	994	582				

OTC														
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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS			
ARCA	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
BC-ALGO	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
CSFB2	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
CVGM	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
JEFALGO4	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
PC-CCG	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
PRSH-BTH	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
PRSH-NYSE	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
PRSH-SMRT	AUGUST	0%	0%	0%	100%	0%	0	3	0	3	0	None		
TACTB2	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None		
Total							3	0		3				

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ARCA	SEPTEMBER	0%	0%	50%	50%	0%	0	86	43	43	0	None		
CSFB2	SEPTEMBER	0%	0%	53%	47%	0%	0	59	31	28	0	None		
CVGM	SEPTEMBER	0%	0%	27%	73%	0%	0	52	14	38	0	None		
JEFALGO4	SEPTEMBER	0%	0%	68%	32%	0%	0	222	151	71	0	None		
PC-CCG	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None		
PRSH-BTH	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None		
PRSH-NYSE	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None		
PRSH-SMRT	SEPTEMBER	0%	0%	43%	57%	0%	0	14	6	8	0	None		
TACTEX2	SEPTEMBER	0%	0%	41%	59%	0%	0	87	36	51	0	None		
Total								520	281	239				

NYSE

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ARCA	SEPTEMBER	0%	0%	69%	31%	0%	0	248	170	78	0	None		
CSFB2	SEPTEMBER	0%	0%	79%	21%	0%	0	121	95	26	0	None		
CVGM	SEPTEMBER	0%	0%	34%	66%	0%	0	133	45	88	0	None		
JEFALGO4	SEPTEMBER	0%	0%	70%	30%	0%	0	707	496	211	0	None		
PC-CCG	SEPTEMBER	0%	0%	62%	38%	0%	0	24	15	9	0	None		
PRSH-BTH	SEPTEMBER	0%	0%	25%	75%	0%	0	8	2	6	0	None		
PRSH-NYSE	SEPTEMBER	0%	0%	20%	80%	0%	0	25	5	20	0	None		
PRSH-SMRT	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None		
TACTEX2	SEPTEMBER	0%	0%	67%	33%	0%	0	243	164	79	0	None		
Total								1509	992	517				