

AMEX

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	JULY	0%	0%	0%	100%	0%	0	2	0	2	0	None
CVGM	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
HB-CCG	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
MS-ALGO	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
MS-SORT	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
PERSH2	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								2	0	2		

NASDAQ

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MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	JULY	0%	0%	75%	25%	0%	0	225	168	57	0	None
CVGM	JULY	0%	0%	40%	60%	0%	0	47	19	28	0	None
FBCO	JULY	0%	0%	67%	33%	0%	0	21	14	7	0	None
HB-CCG	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	JULY	0%	0%	81%	19%	0%	0	107	87	20	0	None
MS-ALGO	JULY	0%	0%	100%	0%	0%	0	1	1	0	0	None
MS-SORT	JULY	0%	0%	100%	0%	0%	0	1	1	0	0	None
PERSH2	JULY	0%	0%	67%	33%	0%	0	6	4	2	0	None
PRSH-NYSE	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	JULY	0%	0%	67%	33%	0%	0	3	2	1	0	None
TACTEX2	JULY	0%	0%	58%	42%	0%	0	91	53	38	0	None
Total								502	349	153		

NYSE

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MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	JULY	0%	0%	69%	31%	0%	0	983	683	300	0	None
CVGM	JULY	0%	0%	42%	58%	0%	0	126	53	73	0	None
FBCO	JULY	0%	0%	62%	38%	0%	0	120	74	46	0	None
HB-CCG	JULY	0%	0%	35%	65%	0%	0	17	6	11	0	None
JEFALGO4	JULY	0%	0%	74%	26%	0%	0	573	423	150	0	None
MS-ALGO	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
MS-SORT	JULY	0%	0%	100%	0%	0%	0	1	1	0	0	None
PERSH2	JULY	0%	0%	80%	20%	0%	0	25	20	5	0	None
PRSH-NYSE	JULY	0%	0%	48%	52%	0%	0	31	15	16	0	None
PRSH-SMRT	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	JULY	0%	0%	53%	47%	0%	0	267	142	125	0	None
Total								2143	1417	726		

OTC

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
CVGM	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
HB-CCG	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
MS-ALGO	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
MS-SORT	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
PERSH2	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	JULY	0%	0%	100%	0%	0%	0	1	1	0	0	None
TACTEX2	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								1	1	0		

AMEX

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MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	AUGUST	0%	0%	100%	0%	0%	0	3	3	0	0	None
CVGM	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
HB-CCG	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	AUGUST	0%	0%	100%	0%	0%	0	1	1	0	0	None
PERSH2	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								4	4	0		

NASDAQ

Market Center MPID(4 Letters)	Q=Startin g Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	AUGUST	0%	0%	66%	34%	0%	0	246	162	84	0	None
CVGM	AUGUST	0%	0%	79%	21%	0%	0	48	38	10	0	None
FBCO	AUGUST	0%	0%	81%	19%	0%	0	32	26	6	0	None
HB-CCG	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	AUGUST	0%	0%	75%	25%	0%	0	207	156	51	0	None
PERSH2	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	AUGUST	0%	0%	65%	35%	0%	0	105	68	37	0	None
Total								638	450	188		

NYSE

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MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	AUGUST	0%	0%	60%	40%	0%	0	751	451	300	0	None
CVGM	AUGUST	0%	0%	59%	41%	0%	0	119	70	49	0	None
FBCO	AUGUST	0%	0%	75%	25%	0%	0	81	61	20	0	None
HB-CCG	AUGUST	0%	0%	23%	77%	0%	0	31	7	24	0	None
JEFALGO4	AUGUST	0%	0%	72%	28%	0%	0	795	574	221	0	None
PERSH2	AUGUST	0%	0%	71%	29%	0%	0	45	32	13	0	None
PRSH-NYSE	AUGUST	0%	0%	20%	80%	0%	0	25	5	20	0	None
TACTEX2	AUGUST	0%	0%	57%	43%	0%	0	258	146	112	0	None
Total								2105	1346	759		

NASDAQ

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MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	SEPTEMBER	0%	0%	66%	34%	0%	0	226	149	77	0	None
CVGM	SEPTEMBER	0%	0%	38%	62%	0%	0	34	13	21	0	None
FBCO	SEPTEMBER	0%	0%	31%	69%	0%	0	16	5	11	0	None
HB-CCG	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFALGO4	SEPTEMBER	0%	0%	69%	31%	0%	0	305	209	96	0	None
PERSH2	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-NYSE	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
PRSH-SMRT	SEPTEMBER	0%	0%	100%	0%	0%	0	3	3	0	0	None
TACTEX2	SEPTEMBER	0%	0%	62%	38%	0%	0	106	66	40	0	None
Total								690	445	245		

NYSE

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MARKET CENTER	DURATIO N	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	SEPTEMBER	0%	0%	69%	31%	0%	0	458	315	143	0	None
CVGM	SEPTEMBER	0%	0%	29%	71%	0%	0	89	26	63	0	None
FBCO	SEPTEMBER	0%	0%	62%	38%	0%	0	48	30	18	0	None
HB-CCG	SEPTEMBER	0%	0%	36%	64%	0%	0	36	13	23	0	None
JEFALGO4	SEPTEMBER	0%	0%	74%	26%	0%	0	838	619	219	0	None
PERSH2	SEPTEMBER	0%	0%	100%	0%	0%	0	1	1	0	0	None
PRSH-NYSE	SEPTEMBER	0%	0%	37%	63%	0%	0	46	17	29	0	None
PRSH-SMRT	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
TACTEX2	SEPTEMBER	0%	0%	71%	29%	0%	0	171	121	50	0	None
Total								1687	1142	545		