

AMEX

Market Center MPID(4 Letters)	Q=Starting Month of Quarter	Percentages					NUMBER OF ORDERS BY TYPE					MATERIAL ASPECTS PDF NAME
MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	JULY	0%	0%	100%	0%	0%	0	8	8	0	0	None
CVGM	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFCO	JULY	0%	0%	100%	0%	0%	0	2	2	0	0	None
NYFX	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYSE	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								10	10	0		

NASDAQ

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ARCA	JULY	0%	0%	63%	37%	0%	0	414	260	154	0	None
CVGM	JULY	0%	0%	58%	42%	0%	0	76	44	32	0	None
FBCO	JULY	0%	0%	58%	42%	0%	0	12	7	5	0	None
JEFCO	JULY	0%	0%	56%	44%	0%	0	368	207	161	0	None
NYFX	JULY	0%	0%	100%	0%	0%	0	1	1	0	0	None
NYSE	JULY	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								871	519	352		

NYSE

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ARCA	JULY	0%	0%	63%	37%	0%	0	1851	1163	688	0	None
CVGM	JULY	0%	0%	49%	51%	0%	0	182	89	93	0	None
FBCO	JULY	0%	0%	71%	29%	0%	0	58	41	17	0	None
JEFCO	JULY	0%	0%	65%	35%	0%	0	1304	851	453	0	None
NYFX	JULY	0%	0%	100%	0%	0%	0	2	2	0	0	None
NYSE	JULY	0%	0%	29%	71%	0%	0	75	22	53	0	None
Total								3472	2168	1304		

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ARCA	AUGUST	0%	0%	43%	57%	0%	0	7	3	4	0	None
CVGM	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFCO	AUGUST	0%	0%	100%	0%	0%	0	1	1	0	0	None
NYFX	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYSE	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								8	4	4		

NASDAQ

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	AUGUST	0%	0%	45%	55%	0%	0	691	311	380	0	None
CVGM	AUGUST	0%	0%	45%	55%	0%	0	97	44	53	0	None
FBCO	AUGUST	0%	0%	31%	69%	0%	0	42	13	29	0	None
JEFCO	AUGUST	0%	0%	50%	50%	0%	0	604	299	305	0	None
NYFX	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYSE	AUGUST	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								1434	667	767		

NYSE

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	AUGUST	0%	0%	61%	39%	0%	0	1898	1151	747	0	None
CVGM	AUGUST	0%	0%	62%	38%	0%	0	214	132	82	0	None
FBCO	AUGUST	0%	0%	63%	37%	0%	0	136	86	50	0	None
JEFCO	AUGUST	0%	0%	73%	27%	0%	0	1712	1253	459	0	None
NYFX	AUGUST	0%	0%	0%	100%	0%	0	1	0	1	0	None
NYSE	AUGUST	0%	0%	44%	56%	0%	0	81	36	45	0	None
Total								4042	2658	1384		

**AMEX**

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ARCA	SEPTEMBER	0%	0%	67%	33%	0%	0	12	8	4	0	None
CVGM	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
FBCO	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
JEFCO	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
NYSE	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								12	8	4		

**NASDAQ**

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ARCA	SEPTEMBER	0%	0%	58%	42%	0%	0	748	437	311	0	None
CVGM	SEPTEMBER	0%	0%	42%	58%	0%	0	116	49	67	0	None
FBCO	SEPTEMBER	0%	0%	51%	49%	0%	0	61	31	30	0	None
JEFCO	SEPTEMBER	0%	0%	69%	31%	0%	0	545	375	170	0	None
NYSE	SEPTEMBER	0%	0%	0%	0%	0%	0	0	0	0	0	None
Total								1470	892	578		

**NYSE**

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MARKET CENTER	DURATION	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	DIRECTED ORDERS	NON- DIRECTED ORDERS	MARKET ORDERS	LIMIT ORDERS	OTHER ORDERS	
ARCA	SEPTEMBER	0%	0%	65%	35%	0%	0	1767	1149	618	0	None
CVGM	SEPTEMBER	0%	0%	49%	51%	0%	0	250	123	127	0	None
FBCO	SEPTEMBER	0%	0%	70%	30%	0%	0	118	83	35	0	None
JEFCO	SEPTEMBER	0%	0%	75%	25%	0%	0	1387	1044	343	0	None
NYSE	SEPTEMBER	0%	0%	55%	45%	0%	0	38	21	17	0	None
Total								3560	2420	1140		