

NASDAQ **Apr-09**

| Market Center MPID(4 Letters) | Q=Starting Month of Quarter | Percentages | | | | | NUMBER OF ORDERS BY TYPE | | | | | MATERIAL ASPECTS PDF NAME |
|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | APRIL | 0% | 0% | 79% | 21% | 0% | 0 | 455 | 359 | 96 | 0 | None |
| JEFCO | APRIL | 0% | 0% | 77% | 23% | 0% | 0 | 322 | 249 | 73 | 0 | None |
| NYFX | APRIL | 0% | 0% | 11% | 89% | 0% | 0 | 18 | 2 | 16 | 0 | None |
| NYSE | APRIL | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| Total | | | | | | | | 795 | 610 | 185 | | |

NYSE **Apr-09**

| Market Center MPID(4 Letters) | Q=Starting Month of Quarter | Percentages | | | | | NUMBER OF ORDERS BY TYPE | | | | | MATERIAL ASPECTS PDF NAME |
|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | APRIL | 0% | 0% | 64% | 36% | 0% | 0 | 755 | 482 | 273 | 0 | None |
| JEFCO | APRIL | 0% | 0% | 74% | 26% | 0% | 0 | 562 | 418 | 144 | 0 | None |
| NYFX | APRIL | 0% | 0% | 0% | 100% | 0% | 0 | 6 | 0 | 6 | 0 | None |
| NYSE | APRIL | 0% | 0% | 28% | 72% | 0% | 0 | 54 | 15 | 39 | 0 | None |
| Total | | | | | | | | 1377 | 915 | 462 | | |

AMEX **Apr-09**

| Market Center MPID(4 Letters) | Q=Starting Month of Quarter | Percentages | | | | | NUMBER OF ORDERS BY TYPE | | | | | MATERIAL ASPECTS PDF NAME |
|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | APRIL | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| JEFCO | APRIL | 0% | 0% | 67% | 33% | 0% | 0 | 3 | 2 | 1 | 0 | None |
| NYFX | APRIL | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| NYSE | APRIL | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| Total | | | | | | | | 3 | 2 | 1 | | |

AMEX May-09

| Market Center MPID(4 Letters) | Q=Starting Month of Quarter | Percentages | | | | | NUMBER OF ORDERS BY TYPE | | | | | MATERIAL ASPECTS PDF NAME |
|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | MAY | 0% | 0% | 100% | 0% | 0% | 0 | 7 | 7 | 0 | 0 | None |
| JEFCO | MAY | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| NYFX | MAY | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| NYSE | MAY | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| Total | | | | | | | | 7 | 7 | 0 | | |

NASDAQ May-09

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|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | MAY | 0% | 0% | 66% | 34% | 0% | 0 | 410 | 271 | 139 | 0 | None |
| JEFCO | MAY | 0% | 0% | 75% | 25% | 0% | 0 | 287 | 216 | 71 | 0 | None |
| NYFX | MAY | 0% | 0% | 45% | 55% | 0% | 0 | 29 | 13 | 16 | 0 | None |
| NYSE | MAY | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| Total | | | | | | | | 726 | 500 | 226 | | |

NYSE May-09

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|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | MAY | 0% | 0% | 74% | 26% | 0% | 0 | 994 | 738 | 256 | 0 | None |
| JEFCO | MAY | 0% | 0% | 81% | 19% | 0% | 0 | 888 | 722 | 166 | 0 | None |
| NYFX | MAY | 0% | 0% | 52% | 48% | 0% | 0 | 46 | 24 | 22 | 0 | None |
| NYSE | MAY | 0% | 0% | 42% | 58% | 0% | 0 | 69 | 29 | 40 | 0 | None |
| Total | | | | | | | | 1997 | 1513 | 484 | | |

AMEX Jun-09

| Market Center MPID(4 Letters) | Q=Starting Month of Quarter | Percentages | | | | | NUMBER OF ORDERS BY TYPE | | | | | MATERIAL ASPECTS PDF NAME |
|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | JUNE | 0% | 0% | 100% | 0% | 0% | 0 | 17 | 17 | 0 | 0 | None |
| JEFCO | JUNE | 0% | 0% | 100% | 0% | 0% | 0 | 2 | 2 | 0 | 0 | None |
| NYFX | JUNE | 0% | 0% | 100% | 0% | 0% | 0 | 3 | 3 | 0 | 0 | None |
| NYSE | JUNE | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| Total | | | | | | | | 22 | 22 | 0 | | |

NASDAQ Jun-09

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|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | JUNE | 0% | 0% | 54% | 46% | 0% | 0 | 391 | 213 | 178 | 0 | None |
| JEFCO | JUNE | 0% | 0% | 51% | 49% | 0% | 0 | 298 | 153 | 145 | 0 | None |
| NYFX | JUNE | 0% | 0% | 45% | 55% | 0% | 0 | 22 | 10 | 12 | 0 | None |
| NYSE | JUNE | 0% | 0% | 0% | 0% | 0% | 0 | 0 | 0 | 0 | 0 | None |
| Total | | | | | | | | 711 | 376 | 335 | | |

NYSE Jun-09

| Market Center MPID(4 Letters) | Q=Starting Month of Quarter | Percentages | | | | | NUMBER OF ORDERS BY TYPE | | | | | MATERIAL ASPECTS PDF NAME |
|----------------------------------|-----------------------------------|--------------------|----------------------------|------------------|-----------------|-----------------|--------------------------|----------------------------|------------------|-----------------|-----------------|---------------------------------|
| MARKET CENTER | DURATION | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | DIRECTED ORDERS | NON- DIRECTED ORDERS | MARKET ORDERS | LIMIT ORDERS | OTHER ORDERS | |
| ARCA | JUNE | 0% | 0% | 74% | 26% | 0% | 0 | 849 | 629 | 220 | 0 | None |
| JEFCO | JUNE | 0% | 0% | 83% | 17% | 0% | 0 | 777 | 646 | 131 | 0 | None |
| NYFX | JUNE | 0% | 0% | 63% | 37% | 0% | 0 | 82 | 52 | 30 | 0 | None |
| NYSE | JUNE | 0% | 0% | 23% | 77% | 0% | 0 | 115 | 27 | 88 | 0 | None |
| Total | | | | | | | | 1823 | 1354 | 469 | | |